

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re CIP Application of: W. Scott
Thielman and Robert M. Pricone.

Examiner:
Art Unit:

Continuation-in-Part of Serial Numbers:
09/596,240 and 09/781,756

Filed: Concurrently herewith

Confirmation Number:

For: A Process and Apparatus for
Embossing Precise Microstructures and
Embossing Tool for Making Same

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Applicants are submitting herewith patents and publications of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. A list of this art is set forth in the attached form PTOL-1449.

It is respectfully submitted that the present application is patentable over these references, whether taken singly or in combination. Applicants are submitting these references for consideration by the Examiner and for purposes of entering these citations in the official file in order to comply with their duty of candor.

14
3-18-03

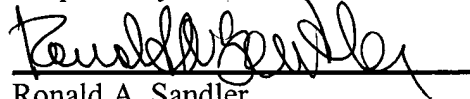


While the information included in this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent or other art referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56 exists.

Although applicants believe that no fee is required relative to this submission, the Examiner is hereby authorized to charge any fee which may be required for the proper filing of this Information Disclosure Statement to Deposit Account No. 10-1202.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Ronald A. Sandler', is written over a solid horizontal line.

Ronald A. Sandler

Reg. No. 23,066

JONES, DAY, REAVIS & POGUE

77 West Wacker, Chicago, Illinois 60601-1692

Chicago, Illinois 60601-1692

(312) 782-3939

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.
2914-AC-C1

APPLICATION SERIAL NO.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT: W. Scott Thielman & Robert M. Pricone

FILING DATE:
Concurrently Herewith

GROUP



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3	9	0	1	6	3	9		8/26/75	Mandelson et al.			
		4	3	3	2	8	4	7		6/1/82	William P. Rowland			
		4	3	9	6	5	6	6		8/2/83	Brinkman et al.			
		4	4	7	8	7	6	9		10/23/84	Pricone et al.			
		4	4	8	6	3	6	3		12/4/84	Pricone et al.			
		4	6	0	1	8	6	1		7/22/86	Pricone et al.			
		4	8	4	4	7	6	6		7/4/89	Kurt Held			
		5	2	1	1	8	9	9		5/18/93	Atsushi Fujii			
		5	2	1	3	8	7	2		5/25/93	Pricone et al.			
		5	7	8	3	8	5	6		7/21/98	Smith et al.			
		5	9	5	8	3	0	9		9/28/99	Fujii et al.			
		6	0	1	5	2	1	4		1/18/00	Heenan et al.			
		6	2	3	8	5	3	8		5/29/01	Parce et al.			
		6	2	7	4	5	0	8		8/14/01	Jacobsen et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.